

**ASSESSMENT COMPANIES
REQUIRED FILINGS IN THE STATE OF MISSOURI FOR THE YEAR 2004**

Number of Copies	Requirements	Due Date
3	Annual Statement – 9x14 size 1 original 2 copies AFFIX MO BARCODE	3/1/04
3	SVO Compliance Certification 1 original 2 copies AFFIX MO BARCODE	3/1/04
1	Updated list of EDP equipment showing aggregate cost & depreciation AFFIX MO BARCODE. If NONE, submit report stating “NONE”.	3/1/04
1	Basket Clause Summary (form enclosed) AFFIX MO BARCODE. Summary of investments made under MO statutes 378.621 and 376.307 RSMo basket clause. If NO basket clause investments, submit report stating “NONE”.	3/1/04
1	Application for Renewal of Certificate of Authority AFFIX MO BARCODE. Form enclosed. Updated biographical affidavits on officers and directors, if any changes AND completed biographicals for those who have not previously submitted an affidavit.	3/1/04
3	Management Discussion & Analysis 1 original 1 copy AFFIX MO BARCODE Complete according to NAIC guidelines. Must file on a NON consolidated basis. NO EXCEPTIONS WILL BE GRANTED FOR FILING CONSOLIDATED!	4/1/04
3	1st Quarter Financial Statements & 1st Quarter SVO Certification 1 original 2 copies AFFIX MO BARCODE	5/15/04
3	Audited Financial Reports completed by Independent CPA 1 original 2 copies AFFIX MO BARCODE File according to MO Statutes 375.1025-3375.1062 RSMo and MO Reg. 20 CSR 200-1.150. Must file on a NON consolidated basis. NO EXCEPTIONS WILL BE GRANTED FOR FILING COSOLIDATED. Report on internal control must be filed if exceptions were noted by CPA.	6/1/04
3	Accountants Letter of Qualifications 1 original & 2 copies AFFIX MO BARCODE All items listed per MO Statute 375.1040 must be addressed.	6/1/04
3	2nd Quarter Financial Statements & 1st Quarter SVO Certification 1 original 2 copies AFFIX MO BARCODE	8/15/04
3	3rd Quarter Financial Statements & 1st Quarter SVO Certification 1 original 2 copies AFFIX MO BARCODE	11/15/04
Required Filings Contact Person: Angel Toebben (573) 526-5001 – any question regarding filing Cindy Monroe (573) 751-4362 – any question regarding filing Elizabeth Tarrants (573) 526-4627 – any question regarding filing Cindy Struempf (573) 522-2563 – questions regarding Premium Tax Form and March 1 Quarterly Assessment Form		